

# Concrete Security Estimates and Parameter Selection for LWE-based schemes

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### Other considerations

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- Scripts for estimating attacks

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Throughout, references in [\[blue\]](#) are PROMETHEUS papers!

## Learning With Errors

Given  $(A, \vec{b} \equiv A\vec{s} + \vec{e} \pmod{q})$  where  $A \xleftarrow{\$} \mathbb{Z}_q^{m \times n}$ ,  $\vec{s} \xleftarrow{\chi_s} \mathbb{Z}_q^n$ ,  $\vec{e} \xleftarrow{\chi_e} \mathbb{Z}_q^m$ ,  $q$  power of prime, distinguish them from uniform (Decision LWE) or recover  $\vec{s}$  (Search LWE).

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Lots of variants:

- replace  $\mathbb{Z}_q^n$  with a polynomial ring  $R = \mathbb{Z}_q/(f)$ , or with an  $R$ -module.  
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- $\chi_s$  uniform vs narrowly distributed around 0, with or without low Hamming wt.
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Three attacks are currently considered “practical” for an attacker:  
primal, dual, hybrid.

# The primal attack

- Solves Search LWE by finding the unique closest vector to  $\vec{b}$  in

$$\Lambda_q = \{A\vec{x} \bmod q : \vec{x} \in \mathbb{Z}^n\}.$$

- The state of the art strategy is to reduce a basis of  $\Lambda_q$  using something like BKZ- $k$ , picking the smallest sufficient block size  $k$  according to [ADPS16].

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## Recent results (incremental)

- [DSDGR20] studies how to exploit side-channel information.
- [PV20] investigates the success probability of smaller than expected block sizes.

No significant differences in estimated costing strategy since [ADPS16].

# The dual attack

- Solves Decision LWE by finding a short vector in

$$\Lambda_q^\perp = \{(\vec{y}, \vec{x}) \in \mathbb{Z}^m \times \mathbb{Z}^n : \vec{y}A \equiv \vec{x} \pmod{q}\}.$$

- The state of the art strategy [Alb17] is to reduce a basis of  $\Lambda_q^\perp$  using something like BKZ- $k$ , picking the smallest sufficient block size  $k$  according to [Che13]. It accounts for narrow secret distributions.
- No significant improvements published recently (caveat; see next slide).

# The hybrid attack

- Solves Search LWE by solving unique SVP with target  $(\vec{s}, \vec{e}, 1)$ .
- The state of the art has been stable since [HG07]:
  - Exploit a highly structured target vector by guessing some of its components.
  - Speed up the guessing step by using MITM techniques or quantum search.
- Currently does not affect small KEM parameters but maybe significant for FHE.

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## Recent results

[CHHS19, EJK20] introduce similar hybrid MITM techniques in the dual attack setting.

Hybrid attacks are where the impact of very sparse distributions may be most likely seen.

# Costing lattice reduction

- Lattice reduction is a fundamental tool for solving LWE.
- Popular algorithms are block based: BKZ [SE91], Slide reduction [GN08], Progressive BKZ [AWHT16], Self-Dual BKZ [MW16].

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## Block reduction cost

Given a block size  $k$ , one needs to solve SVP on  $k$ -dimensional sub-lattices.

- How many calls to the SVP oracle are needed?
- How much does each call to the oracle cost?



# Number of SVP calls per lattice reduction

In [ACDDPPVW18], we surveyed the first round submissions to NIST.

- Some consider 16 tours of BKZ  $\approx 8 \cdot rk(\Lambda)$  calls the oracle.
- Some follow the “core-SVP” [ADPS16] notion: lower bound by assuming 1 call.
- The Homomorphic Encryption Standard [ACC<sup>+</sup>19] uses both!

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Personally, not clear that “16 tours” appropriately accounts for all the variants of block reduction.

→ Core-SVP may be a safe compromise.

→ The last Kyber spec discusses ways to push cryptanalysis “beyond core-SVP”.

## Practical SVP oracles: pruned enumeration

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### Recent results

- $2^{\frac{1}{8}k \log k + o(k)}$  heuristic lower bound achieved [ABF<sup>+</sup>20] (previously  $2^{\frac{1}{2e}k \log k + o(k)}$ ).
- [ABLR20] further lowers the exponent  $2^{\frac{1}{8}k \log k - 0.547k + 10.4}$  to  $2^{\frac{1}{8}k \log k - 0.654k + 25.84}$  using approximate HSVP oracles.

While these results improve enumeration-based lattice reduction, they don't beat sieving runtimes.

# Practical SVP oracles: lattice sieving

- $2^{\Theta(k)}$  time,  $2^{\Theta(k)}$  memory.
- Record holder<sup>1</sup> for SVP,  $k = 176$ .
- “Concrete” analysis suggests quantum speedups minimal in practice [AGPS19].

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## Recent results

- [Duc18] proposes the “dimensions for free” technique.
- [ADH<sup>+</sup>19] introduce and implement a generalisation of block-reduction in the case of sieving, using some of the multiple short vectors returned by the SVP oracle.

While these results improve experimental sieving runtimes, they don't beat asymptotically cheapest sieves.

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# Decryption failure attacks

On decryption, most lattice schemes return  $\text{message} + \text{error} \approx \text{message}$ .

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- It uses a Ciphertext Validity Oracle (CVO) to tell if a ciphertext correctly decrypts.



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- It uses a Ciphertext Validity Oracle (CVO) to tell if a ciphertext correctly decrypts.

## Naive analysis (“one-shot”)

- Pick one key pair, compute the probability  $\delta$  that a random valid ciphertext fails.
- Finding  $F$  failing ciphertexts takes  $F/\delta$  queries to the CVO.

# Failure boosting

[DVV18, DGJ<sup>+</sup>19] introduce “failure boosting”:

- Precompute many ciphertexts, query to CVO only those predicted likely to fail.
  - lower query complexity.
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## Significant recent advances

- 2 Dec 2019: non-failing ciphertexts can inform search for failing ones [BS20].
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Take-home messages:

- failure probability should be negligible for long term keys.
- one-shot analysis not enough.

# Choosing parameters in practice

So, you want to pick parameters. What options are out there for costing attacks?

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- Increasingly, new attack papers are providing scripts.

## Gotchas

- They are community efforts, often buggy.
- Not every attack/model is implemented.
  - And comparisons across scripts may be misleading!
- They still require understanding of the attacks to avoid pitfalls.
- Hit and miss documentation.

## The LWE estimator [APS15]

- Covers primal and dual attack (with exhaustive guessing).
- Can specify non-Gaussian secret distributions (small uniform, fixed weight).
- Returns “rop”, or ring ( $\mathbb{Z}_q$ ) operations.
- LGPLv3+ license.

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### Watch out!

- Sometimes lags behind some improvements (no “dimensions for free” yet :(, still uses GSA).
- It does not cost the hybrid primal and dual attacks.
- It does not cost CCA attacks.



# General advice

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Code review it! (And contribute fixes/features back!)

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- “What commit of the script did you use?”
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## If you write a new estimator

- Make it public!
- Make it good! Put comments, unit tests, some documentation.


# OMG so many references


There's lots of moving parts and it could be tempting to cut corners.


Still, stay safe out there!





You can find a copy of the slides with bibliography at  
<https://fundamental.domains>


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